COMPLIANCE & ETHICS COMMITTEE
February 20, 2019

CALL TO ORDER  3:38 pm

COMMITTEE MEMBERS
✓ Commissioner Nancy W. Gregoire, Chair
✓ Commissioner Andrew M. Klein
✓ Commissioner Christopher T. Ure
✓ Commissioner Ray T. Berry
✓ Commissioner Stacy L. Angier
✓ Commissioner Marie C. Waugh

ADDITIONALLY PRESENT  Gino Santorio/President/CEO, Alan Goldsmith/CAO, Alex Fernandez/ CFO, Jerry Del Amo/Managing Senior Associate General Counsel, Marian Wossum/Interim General Counsel Designee, Nigel Crooks/Chief Internal Auditor, Steve Forman/ Interim Chief Compliance Officer

PUBLIC COMMENTS  None

APPROVAL OF MINUTES

1. Approval of the Compliance and Ethics Committee meeting minutes, dated January 22, 2019

MOTION  It was moved by Commissioner Angier, seconded by Commissioner Berry, to:


Motion carried unanimously.

TOPIC OF DISCUSSION

2. Contemplating Individual Review Organization (IRO) Selection

   Mr. Santorio provided an update on the status of the IRO Selection process.

3. Interim Chief Compliance Officer Report- reported by Steve Forman
   a. Status of the Corporate Integrity Agreement reported by Steve Forman

Video footage of this meeting can be found at:
b. Report from the Executive Compliance Group reported by Steve Forman
   - IRO Plan of Correction – Chair Beth Cherry, SVP Physician Practices, gave her report

Note: At this point of the meeting the remaining agenda items were heard out of order.

   - (Training Subgroup Report was taken out of sequence)
     Chair Melanie Hatcher, VP of Human Resource, gave her report
   - (Disclosures Subgroup Report was taken out of sequence)
     Chair Dr. Ana Calderon, head of the Children’s Diagnostic and Treatment Center, gave her report
   - (Code of Conduct/Policies & Procedures Subgroup Report was taken out of sequence)
     Chair Denise Moore, VP of Corporate Communications and Marketing, gave her report

**MOTION** It was **moved** by Commissioner Angier, **seconded** by Commissioner Waugh, that:

**THE COMPLIANCE AND ETHICS COMMITTEE ACCEPT THE CODE OF CONDUCT, AS PRESENTED.**

Motion carried 5 to 1

   - (Sanction Screening Subgroup Report was taken out of sequence)
     Chair Mr. Lee Ghezzi, VP of Quality and Case Management, gave his report
   - Risk Assessment Subgroup deferred to next month’s meetings
   - Auditing & Monitoring Subgroup deferred to next month’s meetings
   - Conflicts of Interests Processing  **No update provided**

**c. HIPAA Program  No update provided**
4. Regulatory Environment Report  *No update provided*

5. Compliance Budget Increase

Mr. Santorio spoke to the Compliance Budget Increase exhibit.

**MOTION** It was *moved* by Commissioner Ure, *seconded* by Commissioner Berry, that:

THE COMPLIANCE AND ETHICS COMMITTEE RECOMMEND THAT THE BOARD OF COMMISSIONERS OF THE NORTH BROWARD HOSPITAL DISTRICT AUTHORIZE THE PRESIDENT/CEO TO APPROVE ADDITIONAL FUNDING IN THE AMOUNT OF $2,386,423.96 FOR FEES AND EXPENSES INCURRED IN CONNECTION WITH THE PROVISION OF PROFESSIONAL SERVICES BY OUTSIDE CONSULTING FIRMS.

Motion *carried* unanimously.

Note: Although Commissioner Klein was not in attendance at the time of the vote, a quorum remained intact.

**MEETING ADJOURNED** 5:08 pm

**MOTION** It was *moved* by Commissioner Ure, *seconded* by Commissioner Berry, to:

ADJOURN THE COMPLIANCE AND ETHICS COMMITTEE MEETING.

Motion *carried* unanimously.

Respectfully submitted,
Commissioner Ray T. Berry
Secretary / Treasurer